**Appendix 7**

to the Rules for providing access to the Exchange's trading systems

[on the company's letterhead]

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **LIS OF OBSERVERS** | | | Membership category1  ■ "stock"  ■ "currency"  ■ "derivatives" | | |
| (full name of the organization) | | |
| ■ In addition to the earlier submitted1 | | |
| Issue date2 | | | ■ instead of the earlier submitted1 | | |
| 1 Tick the relevant options with the symbol 🗴 or 🗸.  Cross out unnecessary option(s).  2 In the format "DD.MM.YY". | | | | | |
| **Item No.** | **Position, work and mobile phone numbers, e-mail** | **Surname, name, patronymic (if available)** | | **Availability of powers for money transfers[[1]](#footnote-1)** | **Signature sample** |
| 1. |  |  | | □ |  |
| 2. |  |  | | □ |  |
| 3. |  |  | | □ |  |

This list contains the names of employees of our organization, authorized to view on its behalf the course and results of trading in the trading system of Kazakhstan Stock Exchange JSC.

|  |  |  |
| --- | --- | --- |
| [Position of the person having the right of first signature] | [Signature] | [Surname, initials] |

1. To be filled in, if a list of observers for the "stock market" category is provided. [↑](#footnote-ref-1)